



Area Allocation Permanent Process

Instructions for Completing the Qualification Forms

In order to fill out the Forms for Capacity requirements to obtain **or update** Qualification to participate in the *Area Allocation Permanent Process*, it is necessary to previously read in depth articles 21 to 27, both included, of Agreement 2 of 2017, as well as the pertinent sections of the Terms of Reference.

When filling out the boxes corresponding to each of the Formats or Forms, the following instructions should be carefully observed.

Except for that provided for in section 6 of numeral 6.9 of the Terms of Reference, with respect to the form called Document Presentation Letter for Qualification, the Forms cannot be either modified or added. The pertinent information must be included only in the boxes or cells that are **NOT** protected. If the rows are not sufficient, a second Form should be completed, attaching it to the first one.

The pages of all documents that are subjected to review and evaluation by the ANH, must be numbered consecutively, starting with number 1, which must correspond to the first page of the Index. Similarly, the annexes should be numbered.

1. General Documentation

1.1 Index - National or Foreign Legal Persons, as the case may be.

Each Legal Person, whether individually or as a member of a Plural Participant, planning to participate in the Selection Process must complete the form corresponding to the Index, indicating the number of **pages** of each Form comprising the Qualification Documents, as well as the **pages** of the accompanying Annexes of each one, which must be filed for consideration of the Agency.

(It must be taken into account that the index varies depending on whether dealing with Colombian or foreign legal persons, so the appropriate one is to be selected as the case may be for the first or the second, respectively).

1.2 Presentation Letter for Qualification Documents and Annexes

Complete the appropriate Form corresponding to either Colombian or foreign Legal Persons.





If it was stated in the Presentation Letter that the legal person accredits any of the requirements concerning Legal, Technical and Operational, Economic Financing or Environmental Capacity, with the background, qualifications or attributes of its Parent or Holding office, of a subordinate Corporation thereof, whether affiliate or subsidiary, or a company that is part of the same Business or Corporate Group, this must be stated in the Forms, attaching both the solidary Debtor Guarantee and the Legal Opinion on its validity and binding effect. (Agreement 2 of 2017, Article 13.3)

Solidary Debtor Guarantee

Legal Opinion on the Solidarity Debtor Guarantee - Form

2. Legal Capacity

Colombian Legal Persons

Box	Instruction
1	<p>Enter Name or Corporate Name of the Legal Person.</p> <p>If any of the Capacity requirements is accredited with background, qualifications or attributes of its Parent or Holding office, of a subordinate corporation thereof, whether affiliated or subsidiary, or of a corporation that is part of the same Business or Corporate Group, this must be so expressed, including its name and all other pertinent data, according to that Capacity being accredited therewith, in the respective Form, in addition to those of the Participant.</p> <p>Attach the organizational chart of the organization or business group and identify the position of the company that intends to be qualified starting in a descending order from the real beneficiary and holding company of the whole organization. In every case, the share and control percentage of each company over affiliates or subordinates must be stated, as the case may be.</p>
2	State the nature, class or type of Legal Person
3	Enter the full address of the domicile or main venue, city and country where it is located
4	Enter telephone numbers (preceded by the country and city area code in parenthesis)
5	Enter email address (where notices and requirements should be addressed)
6	Enter Tax Identification Number - NIT





Box	Instruction
7	Enter the numbers of the Uniform International Industrial Classification, CIIU corresponding to the main and secondary activities related to Hydrocarbon Exploration and Production, as listed in the Only Tax Register, RUT
8	Based on the Certificate of Existence and Legal Representation, summary the corporate object, as well as the activities related to Hydrocarbon Exploration and Production
9	Enter the date of incorporation of the legal person, or that of acknowledgment of its legal personality, if it is not a commercial corporation
10	Fill in the date of the term of duration or validity, or state if it is indefinite
11	Enter the first and last names of the Legal Representative(s) of the legal person who will commit it as to the relationship with the ANH, or who grants authorization or power for such purpose
12	Enter the type and number of identification document of said Representatives (Citizen Card, CC - Foreigner Cedula, C.E, or Passport and its respective number)
13	Enter the general attributions of the legal representative(s), also as per the Certificate of Existence and Representation or the pertinent document. Should there be any qualitative or quantitative limitations to commit the Legal Person, specify and/or indicate the limit until which it can act, expressed in US dollars, applying the Market Representative Rate, MRR certified by the Central Bank on the date of closure of the last period or Fiscal Year of the respective Legal Person
14	If the Legal Person acts before the ANH by means of another authorized representative, or the attorney, enter, in addition, the full name, identification, capacity to act, powers granted, and instrument through which it has been selected or established
15	Enter the name of the Statutory Auditor, if required, of the external auditor or the person responsible for the accounting of the Legal Person
16	Enter the type and number of the identity document of the Statutory Auditor, the External Auditor or the Accountant. Type: citizen ID, CC, or Foreigner ID, C.E.
17	Enter the number of the Professional Card of the same official

This form must be accompanied by all documents listed below the Chart, which must be properly listed in the respective Index.





Foreign Legal Entities

Box	Instruction
1	<p>Enter Name or Corporate Name of the Legal Person</p> <p>If any of the Capacity requirements is accredited with background, qualifications or attributes of its Parent or Holding office, of a subordinate corporation thereof, whether affiliated or subsidiary, or of a corporation that is part of the same Business or Corporate Group, this must be so expressed, including its name and all other pertinent data, according to that Capacity being accredited therewith, in the respective Form, in addition to those of the Participant.</p> <p>Attach the organizational chart of the organization or business group and identify the position of the company that intends to be qualified starting in a descending order from the real beneficiary and holding company of the whole organization. In every case, the share and control percentage of each company over affiliates or subordinates must be stated, as the case may be.</p>
2	State the nature, class or type of Legal Person
3	Include the full address of the domicile or main venue, city and country where it is located
4	Enter telephone numbers (preceded by the country and city area code in parenthesis)
5	Enter email address (where notices and requirements should be addressed)
6	Based on the Certificate of Existence and Legal Representation, summarize the corporate object, as well as the activities related to Hydrocarbon Exploration and Production
7	Enter the numbers of the Uniform International Industrial Classification, CIIU, corresponding to the main and secondary activities of the Legal Person, related to Hydrocarbon Exploration and Production, if applicable in the country of origin
8	Enter the date of incorporation of the legal person, or that of acknowledgment of its legal personality, if it is not a commercial corporation





Box	Instruction
9	Enter the date of expiration of the term of duration or validity, or state whether it is indefinite.
10	Enter the first and last names of the Legal Representative(s) of the Legal Person who will commit it as to the relationship with the ANH, or who grant authorization or power for such purpose
11	Enter the type and number of identification document of said Representative(s) (Passport and the respective number thereof)
12	If the Legal Person acts before the ANH by means of another authorized representative, or attorney, or the representative that it is bound to establish in Colombia, enter the enter the full name, identification, capacity to act, powers granted, and instrument through which it has been selected or established.
13	Enter the general attributions of the legal representative(s)m also as per the document that accredits the existence and legal representation of the Legal Person. Should there be qualitative or quantitative limitations to commit it, specify which and/or state the limit until which they can act, be it jointly or severally, expressed in US Dollars. If dealing with other currencies, this amount must be converted into US dollars, applying the exchange rate for such currency published by the US Federal Reserve in its web page https://www.federalreserve.gov/releases/h10/hist/ , valid on the date of closing of the last period or Fiscal Year.
14	Enter the name of the Statutory Auditor, if required, or the External Auditor, or the Internal Auditor or " <i>Controller</i> "
15	Enter the type and number of the identity document of the Statutory Auditor, Auditor or " <i>Controller</i> "

This Form must be accompanied by all documents listed below the Chart, which must be properly listed in the respective Index.

Statement and Commitment relative to Inabilities, Incompatibilities and Prohibitions

Fill in the blank spaces of the respective Format, be it for National or Foreign Legal Persons.





Transparency Declaration and Commitment (Common for National and Foreign)

Fill in the blanks in the respective Format.

Statement and Commitment of the Business Group or Control Situation

Fill in the blank spaces in the respective Format.

Statement and Commitment Change of Real Beneficiary of Holding company

Fill in the blank spaces of the respective Format.

Declaration and Commitment on Criminal Activities

Fill in the blank spaces of the respective Format.

3. Financial Economic Capacity

The ANH must be provided with copy of the Financial Statements corresponding to the last period or Fiscal Year, comparatives with the previous one, prepared in accordance with the International Financial Reporting Standards (IFRS), duly certified and assessed, along with its Notes.

In the respective Economic Financial Capacity Forms, all figures must be expressed in dollars of the United States of America (USD). Therefore, when the Financial Statements are presented in Colombian pesos, for completion of the Forms, the figures must be converted into US dollars, at the market representative rate (MRR) certified by the Central Bank on the cut off date of the last period or pertinent Fiscal Year. Should the Financial Statements be prepared in other currencies, the figures to be entered in the Forms should also be converted into dollars, applying the exchange rate for such currency and the others, also current on the closing date of the last period or Fiscal Year, published by the US Federal Reserve in its web page <https://www.federalreserve.gov/releases/h10/hist/>.

The Economic Financial Capacity Forms must be submitted in physical and magnetic media, in Excel format.





Net Residual Equity, PNR

Box	Instruction								
0	<p>Answer YES or NOT, if the legal person is included in the latest publication of <i>"The Energy Intelligence Top 100: Ranking the World's Top Oil Companies"</i> of the firm "Energy Intelligence" as company of the integrated type or "Upstream", and/or the latest publication of <i>"The Platts Top 250 Global Energy Company Rankings"</i> of "S & P Global Platts", in the categories "Oil & Gas Exploration and Production" or "Integrated Oil & Gas", or accredits the circumstance of having obtained in the last year a risk rating equivalent to Investment Grade of their long-term debt, on an international scale, equal to or higher than those established in the following table:</p> <table border="1" data-bbox="440 1010 1297 1276"> <thead> <tr> <th data-bbox="446 1010 992 1073">Risk Rating Agency</th> <th data-bbox="992 1010 1297 1073">Rating</th> </tr> </thead> <tbody> <tr> <td data-bbox="446 1073 992 1140">Standard & Poor's</td> <td data-bbox="992 1073 1297 1140">BBB</td> </tr> <tr> <td data-bbox="446 1140 992 1207">Moody's</td> <td data-bbox="992 1140 1297 1207">Baa</td> </tr> <tr> <td data-bbox="446 1207 992 1276">Fitch Ratings</td> <td data-bbox="992 1207 1297 1276">BBB</td> </tr> </tbody> </table> <p>When dealing with companies that are part of the business group whose parent or holding company is listed in the latest of the aforementioned publications and that, as such, aspire to request the exception for accreditation of their capacity, must be referenced in public reports 10K or 20F of the New York Stock Exchange or its equivalent, in order to accredit that there is public recognition of the "direct or indirect" holding situation by the company's management and control body (Board of Directors) publicly registered with the U.S. SECURITIES AND EXCHANGE COMMISSION-SEC.</p> <p>In the event that the corporation applying for qualification and that, given its conditions as member of a business group, whose parent or holding company is registered in the aforementioned Publications, intends to be exempted from accrediting its capacities, but it is not referenced in the public 10K or 20K reports of the New York Stock Exchange or its</p>	Risk Rating Agency	Rating	Standard & Poor's	BBB	Moody's	Baa	Fitch Ratings	BBB
Risk Rating Agency	Rating								
Standard & Poor's	BBB								
Moody's	Baa								
Fitch Ratings	BBB								





Box	Instruction
	<p>equivalent, must attach a certification issued by the Board of Directors, President or General Counsel, whose conditions must be publicly recognized in the annual published reports.</p> <p>In any event, it is necessary to attach the organizational chart of the organization or business group and identify the position of the company that intends to be qualified stating in a descending order from the real beneficiary and holding company of the whole organization. In every case, the share and control percentage of each company over affiliates or subordinates must be stated, as the case may be.</p>
1	Enter the date of closing the latest period or Fiscal Year of the respective Financial Statements.
2	Current Assets are considered those that are susceptible of being realized or converted into cash, in a period of less than one (1) year.
3	It corresponds to available cash resources in Cash, Banks, Transit Remittances, Saving Accounts and Funds.
4	Temporary Investments are represented in securities and other instruments managed by other economic entities, which can be converted into cash before one (1) year.
5	Enter the value of Expenses paid in advance, which will be caused before one (1) year.
6	Those that can be realized in a period of less than one (1) year, other than those mentioned above. These include Debtors, Inventories and Other.,
7	Exploration Costs of all current contracts, which would be caused before one (1) year and that were capitalized.
8	Sum of value of Boxes three (3) to six (6), less that of Box seven (7).
9	Non-Current Assets are considered those that are susceptible of being realized or converted into cash, in a period of more than one (1) year.





Box	Instruction
10	Temporary Investments are represented in securities and other instruments managed by other economic entities, with respect to which there is not intention or possibility of converting them into cash before one (1) year.
11	It is the value of Properties, Plant and Equipment, plus that invested in Exploration and Production Projects.
12	Those that can be realized in a period of more than one (1) year, other than those mentioned above. These include: Debtors, Inventories, Intangibles, Deferred, Valorization and Others.
13	Exploration Costs of all current contracts, which would be caused after one (1) year and that were capitalized.
14	Sun of the values of Boxes ten (10) to twelve (12), less that of Box thirteen (13).
15	Sun of the figures corresponding to boxes eight (8) and fourteen (14).
16	Current Liabilities are considered those obligations payable in a period of less than one (1) year.
17	Short Term Financial Obligations are those acquired with credit entities or financial leasing corporations, payable before one (1) year. These must be registered for the principal amount.
18	It corresponds to Obligations with Suppliers, before one (1) year.
19	It corresponds to cumulative Expenses, payable before one (1) year.
20	Those that are payable in a period of less than one (1) year, other than those mentioned above. These include: Taxes, Burdens and Rates, Labor Obligations, Estimated Liabilities, Provisions and Other.
21	Sum of the figures registered in boxes seventeen (17) to twenty (20).





Box	Instruction
22	Non-Current Liabilities are those obligations payable in a period longer than one (1) year.
23	Long Term Financial Obligations are those acquired with credit entities or financial leasing corporations, payable after one (1) year. These must be registered for the principal amount.
24	It corresponds to Obligations with Suppliers, payable after one (1) year.
25	It corresponds to cumulative Expenses, payable after one (1) year.
26	Those that are payable in a period of less than one (1) year, other than those mentioned above. It includes Taxes, Burdens and Rates, Labor Obligations, Estimated Liabilities, Provisions, Deferred, Bonds and commercial papers, and other.
27	Sum of figures registered in boxes twenty-three (23) to twenty-six (26).
28	Sum of figures registered in boxes twenty-one (21) to twenty-seven (27).
29	The Paid-in Capital represents the Contributions effectively made to the economic entity, in cash, industry or in cash, in order to provide resources for the business activity.
30	It corresponds to the Value of Retained Profits.
31	It includes Capital Surplus, Reserves, Equity Revaluation, Dividends or Share Interest decreed in the form of shares, quotas or equity shares, P&L for the FY and previous Years, other than the Retained Profits listed in the preceding box.
32	Sum of boxes seven (7) and thirteen (13).
33	Sum of figures of boxes twenty-nine (29) to thirty-one (31), less that of box thirty-two (32).





Box	Instruction
34	Sum of figures registered in boxes twenty-three (23) to twenty-six(26).
35	Value of investment commitments acquired in furtherance of current Contracts with the ANH, with Hydrocarbon administration State agencies, or with third parties who have entrusted it with Hydrocarbon Exploration and Production activities in any country. Result of box eleven (11) of the Annex to this Form.
36	Value registered in box thirty-three (33), less that of box thirty-five (35).
37	Enter the currency in which the Financial Statements that support the data registered in the Economic Financial Capacity Forms were prepared.
38	Enter the exchange rate used to convert the origin currency into dollars of the United States of America.

Annexo to the Residual Net Equity Form, List of Current Contracts

Fill in one Form for each Contract

Box	Instruction
1	Full name of the Contracting Entity
2	Country where the activities that are the object of the Contract are performed
3	Name or identification of the Contract
4	Date of Execution
5	Name of the contact person in the Contracting Entity, in charge of supervising the Contract mentioned
6	Position of that person
7	Contact telephone, with their area codes (<i>Country, City</i>)
8	Email address of the same person
9	Physical address for correspondence





Box	Instruction
10	Enter for each current Contract the value of investments pending to be made within the next twelve (12) months following the cut-off date of the same fiscal year.
11	Sum of individual values of boxes ten (10)

Attach the respective certifications, filled in in the corresponding Form, one for each Contract.

Certifications of Current Contracts

Complete this Form in accordance with the instructions included therein, for each of the Current Contracts, listed in the Annex to the Residual Net Equity Form.

Hedging and Debt Ratios

Box	Instruction
1	It must correspond to the value entered in box seventeen (17) of the PNR Form
2	Same value of box twenty-three (23) of the PNR Form
3	Same value of box three (3) of the PNR Form
4	Same value of box four (4) of the PNR Form
5	Box one (1) plus box two (2) less box three (3) less box four (4)
6	Operational Profit before interest and taxes. (EBIT) " <i>earnings before interest and taxes</i> ")
7	Value of Depreciation made during the period
8	Value of Amortizations made during the period
9	Other Items not representing cash outflows





Box	Instruction										
10	Operational profit before interest, taxes, depreciation and amortizations (EBITDA)“ <i>earnings before interest, taxes, depreciation and amortization</i> ”). Sum of boxes six (6) to nine (9)										
11	Value of box five (5) divided by that of box ten (10)										
12	<p>The Hedging Ratio is determined by the value of box eleven (11), according to the following table:</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Net Debt/EBITDA</th> <th>Coverage Ratio</th> </tr> </thead> <tbody> <tr> <td>1.00%</td> <td>1,00</td> </tr> <tr> <td>1,00 <= 2,50</td> <td>0,50</td> </tr> <tr> <td>2,50 <= 4,00</td> <td>0,25</td> </tr> <tr> <td>> 4.00</td> <td>0,00</td> </tr> </tbody> </table>	Net Debt/EBITDA	Coverage Ratio	1.00%	1,00	1,00 <= 2,50	0,50	2,50 <= 4,00	0,25	> 4.00	0,00
Net Debt/EBITDA	Coverage Ratio										
1.00%	1,00										
1,00 <= 2,50	0,50										
2,50 <= 4,00	0,25										
> 4.00	0,00										
13	Same value of box thirty-six (36) of the PNR Form										
14	Value of box five (5) divided by that of box thirteen (13)										
15	<p>The Debt Ratio is determined in function of the value of box fourteen (14), according to the following Table:</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Net Debt/PNR</th> <th>Debt Ratio</th> </tr> </thead> <tbody> <tr> <td>< 0.25</td> <td>1,00</td> </tr> <tr> <td>0,25 <= 0,50</td> <td>0,50</td> </tr> <tr> <td>0,50 <= 1,00</td> <td>0,25</td> </tr> <tr> <td>> 1,00</td> <td>0,00</td> </tr> </tbody> </table>	Net Debt/PNR	Debt Ratio	< 0.25	1,00	0,25 <= 0,50	0,50	0,50 <= 1,00	0,25	> 1,00	0,00
Net Debt/PNR	Debt Ratio										
< 0.25	1,00										
0,25 <= 0,50	0,50										
0,50 <= 1,00	0,25										
> 1,00	0,00										

Consolidated Financial Economic Capacity

Box	Instruction
1	Weighting factor for the Residual Net Equity in the formula to determine the Financial Economic Capacity = 50%





2	Same value of box thirty-six (36) of the PNR Form
3	Value entered in box one (1), multiplied by that of box two (2)
4	Weighting factor for the Hedging Ratio in the formula to determine the Financial Economic Capacity = 25%
5	Same value entered in box twelve (12) of the Hedging/Debt Ratio Form
6	Same value of box thirty-six (36) of the PNR Form
7	Value entered in box four (4), multiplied by that of box five (5) and box six (6)
8	Weighting factor for the Debt Ratio in the formula to determine the Financial Economic Capacity = 25%
9	Same value entered in box fifteen (15) of the Hedging/Debt Ratio Form
10	Same value of box thirty-six (36) of the PNR Form
11	Value of box eight (8), multiplied by that of box nine (9) and box ten (10).
12	<p>Sum of boxes three (3), seven (7) and eleven (11). In sum, the Financial</p> $ \begin{array}{ccccccc} \text{CEF} & = & \text{PNR} * 50\% & + & \text{PNR} * \text{RC} * 25\% & + & \\ & & \underbrace{\text{PNR} * \text{RE} * 25\%} & & \underbrace{\hspace{2cm}} & & \underbrace{\hspace{2cm}} \\ & & \text{Value 1} & & \text{Value 2} & & \text{Value 3} \end{array} $ <p>Where:</p> <p>PNR is the Residual Net Equity RC (cr): Hedging Ratio, and RE Debt Range</p> <p>The percentages correspond to the weight or weighting of each factor</p>
13	Reference average quote Cushing OK WTI "Spot Price" FOB (USD/bbl), last 12 months, in accordance with the Table contained in subsection 18 of article 23 of Agreement 2 of 2017.
14	Value Point in US Dollars in accordance with the average quote Cushing OK WTI "Spot Price" FOB (USD/bbl) for the past 12 months.





15	Value entered in box twelve (12), divided by that of box fourteen (14)
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4. Technical and Operational Capacity

Technical and Operational Capacity Form

In the second columns of the exceptions chart answer "YES" or "NO"

Enter the volume of proved own Oil and Gas Reserves, reported in the Financial Statements corresponding to the last period or Fiscal Year, expressed in barrels of oil equivalent, BOE.

Enter the Oil or Gas Average Annual Production operated during the last five (5) years, in barrels of oil equivalent per day, BOE/d. Year five (5) corresponds to the last period or Fiscal Year.

For conversion of Reserves or Gas Production into Barrels of Oil Equivalent, BOE, the following factors must be used: 1 BOE corresponds to five thousand seven hundred cubic feet (5,700 ft³) of Gas.

The information regarding Reserves corresponds to the Own Proved Reserves, reported in the Financial Statements of the last Year or fiscal period.

It should be certified by an independent third party that meets the conditions required by the System of Administration of Petroleum Resources, SPE-PRMS. The certifications must be issued in accordance with the guidelines established by said System.

If the daily Operated Production corresponds to activities abroad, certification must be attached from the competent authority of the respective country, which is to report the powers it has and, otherwise, certification under oath signed by the Legal Representative and Statutory Auditor, External Auditor, Internal Auditor or Controller, or, finally, the Accountant responsible for the Financial Statements.

Exploration and Production Contracts/Own Hydrocarbon Reservoirs in Traps (Executed) (Should this exception be requested)

List of Contracts which object includes Hydrocarbon Exploration and Exploitation in Traps, or Own Reservoirs of the same nature, Explored and Exploited by a Legal Person or whoever accredits the Capacity, performed in the past ten (10) Years, with actual investments above five hundred million dollars of the United States of America (USD 500,000,000) or its equivalent in other currencies, where





it has worked as Operator, certified by the Legal Representative and the Statutory Auditor, External Auditor, Internal Auditor "Controller", or responsible Accountant, in that same order, should this exception be requested.

Exploration and Production Contracts/Own Hydrocarbon Reservoirs in Source Rocks (Executed)
(Should this exception be requested)

List of Contracts which object includes Hydrocarbon Exploration and Exploitation originated in Source Rock Reservoirs or Own Reservoirs of the same nature, Explored and Exploited by a Legal Person or whoever accredits the Capacity, performed in the past five (5) Years, with actual investments above two hundred fifty million dollars of the United States of America (USD 250,000,000) or its equivalent in other currencies, where it has worked as Operator, certified by the Legal Representative and the Statutory Auditor, External Auditor, Internal Auditor "Controller", or responsible Accountant, in that same order, should this exception be requested.

Exploration and Production Contracts/Own Hydrocarbon Reservoirs in Traps (Being executed)

List of at least five (5) Contracts which object is or which incorporate activities of Hydrocarbon Exploration and Production in Traps, or Exploration and Exploitation of at least five (5) own Reservoirs of the same nature, which are being executed on the close date of the last period or Fiscal Year, where the Legal Person or whoever accredits the Capacity is the Operator, provided, further, that it has assets above one billion US Dollars (USD 1.000.000.000) or its equivalent in other currencies, according to the Chart below, certified by the Legal Representative and the Statutory Auditor, External Auditor, Internal Auditor "Controller", or responsible Accountant, in that same order, should this exception be requested.

Exploration and Exploitation Contracts/Own Hydrocarbon Reservoirs in Source Rocks (being executed) (Should this exception be requested)

List of at least three (3) Exploration and Production Contracts, E&P, which include the development of Hydrocarbon Reservoirs in Source Rocks, or at least three (3) own Reservoirs of the same nature, being executed on the close date of the last period or Fiscal Year, where the Legal Person or whoever accredits the Capacity is the Operator, provided, further, that it has assets above one billion US Dollars (USD 1.000.000.000) or its equivalent in other currencies, according to the Chart below, certified by the Legal Representative and the Statutory Auditor, External Auditor, Internal Auditor "Controller", or responsible Accountant, in that same order, should this exception be requested.

The entering of this information, under seriousness of oath, implies the certification of the number of Contracts or Reservoirs in execution and the Investments made.





5. Environmental Capacity:

Environmental Capacity Form

Should the Qualification applicant or the Legal Person that accredits the Capacity have an ISO 14001 Certification or an equivalent, it must attach copy of the respective document and commit to uphold such Certification until the allocation of the Areas that are the object of the Process and, if favored with the award, until the settlement of the respective Contract(s). Otherwise, it is necessary to complete the pertinent Form, attach the required documents, and commit to obtain the certification in a maximum three-year (3) term.

6. Capacity related to Corporate Social Responsibility:

Form for Capacity related to Corporate Social Responsibility:

Accreditation of having adopted and implemented a series of open, transparent practices, based on ethical values and respect for the State, its workers and contractors, the society, the communities, the environment and the renewable natural resources, which demand the administration of social businesses subjected to the legal framework; for these ethical values and the public and commercial expectations; respect for diversity and cultural identity, as well as setting of goals that will contribute to the economic and social development and achievement of a sustainable and inclusive development, such as those set out in ISO 26000 Certification, or similar, through copy of the document where these are contained, signed by the Legal Representative, in addition to the pertinent Form to be completed.

Therefore, it is necessary to present a document containing the responsibility norms, practices and standards with respect to diverse ethnic groups or communities, which reflects the commitment of compliance therewith.

